

# Clean Water SRF Program

## Data Definitions for Individual State and National Summary Reports

Line #	Field Name	Definition
<b>CWSRF Agency Information</b>		
<b>Lead State Agency</b>		
1	Lead State Agency Name	Name of the State agency that receives the Federal CWSRF capitalization grant.
2	Type of Agency	Type of agency that the lead State agency is (e.g., environmental or financial).
3-5	Mailing Address	Mailing address for the lead State agency (number, street, or P.O. Box, City, zip code), if different than the street address.
6-8	Street Address	Street address for the lead State agency (number, street, building, suite number, City, zip code).
9	Director	Name of the director of the lead State agency.
10	Director's Title	Title of the director of the lead State agency.
11	Phone Number	Telephone number of the lead State agency director.
12	Fax Number	Fax number of the lead State agency director.
13	E-mail Address	E-mail address of the lead State agency director.
14	Contact Person	Name of the contact person within the lead State agency for the CWSRF program.
15	Phone Number	Telephone number of the contact person within the lead State agency for the CWSRF program.
16	Fax Number	Fax number of the contact person within the lead State agency for the CWSRF program.
17	E-mail Address	E-mail address of the contact person within the lead State agency for the CWSRF program.
<b>Secondary Agency</b>		
18	Secondary Agency Name	Name of the secondary State agency involved with the CWSRF program, if any.
19	Type of Agency	Type of agency that the secondary State agency is (e.g., environmental or financial).
20-22	Mailing Address	Mailing address of the secondary State agency (number, street, or P.O. Box, City, zip code), if different than the street address.
23-25	Street Address	Street address of the secondary State agency (number, street, building, suite number, City, zip code).
26	Director	Name of the director of the secondary State agency.
27	Director's Title	Title of the director of the secondary State agency.
28	Phone Number	Telephone number of the secondary State agency director.
29	Fax Number	Fax number of the secondary State agency director.
30	E-mail Address	E-mail address of the secondary State agency director.
31	Contact Person	Name of the contact person within the secondary State agency for the CWSRF program.
32	Phone Number	Telephone number of the contact person within the secondary State agency for the CWSRF program.
33	Fax Number	Fax number of the contact person within the secondary State agency for the CWSRF program.
34	E-mail Address	E-mail address of the contact person within the secondary State agency for the CWSRF program.
<b>Other Agency</b>		
35	Other Agency Name	Name of other State agency involved with the CWSRF program, if any.
36	Type of Agency	Type of agency that the other State agency is (e.g., environmental or financial).
37-39	Mailing Address	Mailing address of the other State agency (number, street, or P.O. Box, City, zip code), if different than the street address.
40-42	Street Address	Street address of the other State agency (number, street, building, suite number, City, zip code).
43	Director	Name of the director of the other State agency.
44	Director's Title	Title of the director of the other State agency.
45	Phone Number	Telephone number of the other State agency director.
46	Fax Number	Fax number of the other State agency director.
47	E-mail Address	E-mail address of the other State agency director.
48	Contact Person	Name of the contact person for the CWSRF program within the other State agency.

Line #	Field Name	Definition
49	Phone Number	Telephone number of the contact person for the CWSRF program within the other State agency.
50	Fax Number	Fax number of the contact person for the CWSRF program within the other State agency.
51	E-mail Address	E-mail address of the contact person for the CWSRF program within the other State agency.

## Federal and State Investment

### Federal Grants (Dollars)

52	Date of last award in the year	Last date in the Federal Fiscal Year (October 1 to September 30) that the state was granted an award. <i>Data entered by EPA.</i>
53-55	Grant award amounts	Total amount of funds awarded in the Federal Fiscal Year (October 1 to September 30) as the capitalization grant or amendment, detail by CWA Title of funds (Title VI or II) and fiscal year of appropriation. <i>Data entered by EPA.</i>

### Transfer of Federal Funds Between CWSRF and DWSRF

58	Amount of Federal Funds Transferred into CWSRF	Dollar amount of federal funds transferred into the CWSRF from the DWSRF during the federal fiscal year. <i>Data entered by EPA.</i>
59	Amount of Federal Funds Transferred out of CWSRF	Dollar amount of federal funds transferred out of the CWSRF to the DWSRF during the federal fiscal year. <i>Data entered by EPA.</i>

### Quarterly Outlays (Dollars)

62-65	Quarterly Outlays	Total amount of actual outlays (i.e., cash draws from the Federal ACH) during the Federal Fiscal Year (October 1 to September 30). <i>Data entered by EPA.</i>
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**Note:** *The remainder of the CWSRF program information is provided on a Reporting Year (July 1 to June 30) basis.*

### Outlays (Dollars)

68	Total Annual Outlays	Total amount of actual outlays (i.e., cash draws from the Federal ACH) during the reporting period. <i>Data entered by EPA.</i>
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### Net Transfer of Funds with DWSRF into/(out of) the CWSRF

81	Annual Net Non-Federal Funds Transferred	Net dollar amount of non-federal funds (e.g. repayments) transferred from the DWSRF into the CWSRF (entered as a positive number) or out of the CWSRF to the DWSRF (entered as a negative number).
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## Federal and State Investment

### State Match Contributions (Dollars)

*The amount deposited into the CWSRF to meet the State match requirement of 20 percent of the capitalization grant amount, by source of funds. Includes additional State match funds that are deposited into the CWSRF to meet future State match requirements.*

84	Cash or appropriations	Match provided from cash or State appropriations (i.e., general funds, tax revenues).
85	GO Bonds Retired Outside the CWSRF	Match provided from general obligation (GO) bond proceeds that will not be retired using CWSRF funds.
86	GO Bonds Retired From the CWSRF	Match provided from general obligation (GO) bond proceeds (net of issuance expenses) that will be retired using CWSRF funds (e.g., double-barreled revenue bonds).
87	Revenue Bonds	Match provided from revenue bond proceeds (net of issuance expenses) that will be retired using CWSRF funds.
88	Pre-existing Loans	Match provided from loans made under a pre-existing State loan program.
89	Other Sources	Match provided from sources other than the sources listed above.

Line #	Field Name	Definition
<b>Additional State Contributions in Excess of Match (Dollars)</b>		
	<i>The dollar amount of additional State contributions deposited into the CWSRF in excess of the required 20 percent match amount. Does not include funds that will be used to provide future required State match.</i>	
92	Cash or appropriations	Funds provided from cash or State appropriations (i.e., general funds, tax revenues).
93	GO Bonds Retired Outside the CWSRF	Funds provided from general obligation (GO) bond proceeds that will not be retired using CWSRF funds.
94	GO Bonds Retired From the CWSRF	Funds provided from general obligation (GO) bond proceeds (net of issuance expenses) that will be retired using CWSRF funds (e.g., double-barreled revenue bonds).
95	Revenue Bonds	Funds provided from revenue bond proceeds (net of issuance expenses) that will be retired using CWSRF funds.
96	Pre-existing Loans	Funds provided from loans made under a pre-existing State loan program.
97	Other Sources	Funds provided from sources other than the sources listed above.

### CWSRF Assistance

*CWSRF assistance includes only the portion of a project's cost that is financed with CWSRF funds. CWSRF assistance is the amount of the contractual obligation between the state and the community and may include capitalized interest and contingencies. CWSRF assistance amounts are based on the loan execution amount unless the final loan amount is known. An individual assistance action is defined as the original scope of work in the assistance agreement for the portion of the project funded with CWSRF monies plus any minor additions. Major changes in scope are counted as additional actions.*

### Binding Commitments

100	Binding Commitments - Annual Dollar Amount	Total dollar amount of actual CWSRF binding commitments for CWSRF project assistance made during the reporting period. A binding commitment for a project is defined as a legal obligation by the State to a local assistance recipient that defines the terms and timing for assistance through the CWSRF. In some States, the binding commitment and loan execution occur at the same time. Does not include administrative expenses.
102	Binding Commitments - Annual Number	Total number of actual CWSRF binding commitments for CWSRF project assistance made during the reporting period. A binding commitment for a project is defined as a legal obligation by the State to a local assistance recipient that defines the terms and timing for assistance through the CWSRF. In some States, the binding commitment and loan execution occur at the same time. Does not include administrative expenses.

### Type of CWSRF Assistance Provided (Dollars)

*The total dollar amount of CWSRF assistance provided in each category during the reporting period.*

104	Loans (includes pass-through and linked-deposit loans)	As authorized under CWA section 603(d)(1). Includes any differences in loan amounts that result from replacing an interim CWSRF construction loan with permanent CWSRF financing in the year in which the change occurs. If the construction loan and the permanent financing are for the same amount, no entry (other than to record the initial loan in the year in which it was executed) would be made. For pass-through and linked deposit assistance, includes dollar volume of loans made by the intermediary to subrecipients.
105	Refinance Short-Term Debt	Refinancing short-term local debt obligations (i.e., bond anticipatory notes (BANs)) - As authorized under CWA section 603(d)(2). Local debt obligations refers to non-CWSRF debt. Replacement of an interim CWSRF construction loan with permanent CWSRF financing is reported on line 104 as an adjustment, not as a new loan.
106	Refinance Long-Term Debt	Refinancing long-term local debt obligations - As authorized under CWA section 603(d)(2). Local debt obligations refers to non-CWSRF debt.

Line #	Field Name	Definition
107	Guarantee/Purchase Insurance	Guarantee or purchase insurance for local debt obligations - As authorized under CWA section 603(d)(3)&(4).
108	Sub-State Revolving Funds	Local guarantees for sub-state revolving funds - As authorized under CWA section 603(d)(5).
<b>Type of CWSRF Assistance Provided (Number of Assistance Agreements)</b>		
<i>The total number of CWSRF assistance agreements made during the reporting period in each category.</i>		
111	Loans (includes pass-through and linked-deposit loans)	As authorized under CWA section 603(d)(1). The number of new loans executed that relates to the dollar amount reported on line 104. No additional entry is made for previously reported interim CWSRF construction loans that were replaced with permanent financing. For pass-through and linked deposit assistance, includes the number of loans made by the intermediary to sub-recipients.
112	Refinance Short-Term Debt	Refinancing short-term local debt obligations (i.e., bond anticipatory notes (BANs)) - As authorized under CWA section 603(d)(2). The number of refinancings that relates to the dollar amounts reported on line 105.
113	Refinance Long-Term Debt	Refinancing long-term local debt obligations - As authorized under CWA section 603(d)(2). The number of refinancings that relates to the dollar amounts reported on line 106.

## CWSRF Assistance

*CWSRF assistance includes only the portion of a project's cost that is financed with CWSRF funds. CWSRF assistance is the amount of the contractual obligation between the state and the community and may include capitalized interest and contingencies. CWSRF assistance amounts are based on the loan execution amount unless the final loan amount is known. An individual assistance action is defined as the original scope of work in the assistance agreement for the portion of the project funded with CWSRF monies plus any minor additions. Major changes in scope are counted as additional actions.*

### Type of CWSRF Assistance Provided (Number of Assistance Agreements) - continued

114	Guarantee/Purchase Insurance	Guarantee or purchase insurance for local debt obligations - As authorized under CWA section 603(d)(3)&(4). Number of guarantees/insurance purchases that relates to the dollar amounts reported on line 107.
115	Sub-State Revolving Funds	Local guarantees for sub-state revolving funds - As authorized under CWA section 603(d)(5). Number of local guarantees that relates to the dollar amounts reported on line 108.

### Assistance by Population Size (Dollars)

118-121 Amount of CWSRF assistance provided during the reporting period to fund projects serving each of the population size categories (Less than 3,500; 3,500 to 9,999; 10,000 to 99,999; 100,000 and above).

### Assistance by Population Size (Number of Assistance Agreements)

124-127 Number of CWSRF assistance agreements made during the reporting period to fund projects serving each of the population size categories (Less than 3,500; 3,500 to 9,999; 10,000 to 99,999; 100,000 and above).

### Assistance to Hardship Communities

130	Annual Dollar Amount	Total CWSRF assistance provided during the reporting period to (economic) hardship communities (as defined by the State). Excludes grant funds provided to communities under EPA's Rural Community Hardship Grant Program even if that assistance is provided in combination with CWSRF assistance.
132	Annual Number of Assistance Agreements	Number of CWSRF assistance agreements made during the reporting period to (economic) hardship communities (as defined by the State). Excludes grant funds provided to communities under EPA's Rural Community Hardship Grant Program even if that assistance is provided in combination with CWSRF assistance.

### Assistance to Indian Tribes

Line #	Field Name	Definition
134	Annual Dollar Amount	Total CWSRF assistance provided to Indian tribes during the reporting period. Includes Indian tribes on reservations, Indian tribes in Oklahoma not on reservations, and Alaska native villages.
135	Annual Number of Assistance Agreements	Total number of CWSRF assistance agreements made with Indian tribes during the reporting period. Includes Indian tribes on reservations, Indian tribes in Oklahoma not on reservations, and Alaska native villages.

## CWSRF Assistance

*CWSRF assistance includes only the portion of a project's cost that is financed with CWSRF funds. CWSRF assistance is the amount of the contractual obligation between the state and the community and may include capitalized interest and contingencies. CWSRF assistance amounts are based on the loan execution amount unless the final loan amount is known. An individual assistance action is defined as the original scope of work in the assistance agreement for the portion of the project funded with CWSRF monies plus any minor additions. Major changes in scope are counted as additional actions.*

### Wastewater Treatment (§212) (Dollars in each category)

*The total dollar amount of CWSRF assistance provided for each of the following Wastewater Treatment Works (CWA Section 212) categories during the reporting period.*

138	Secondary Treatment	Category I - Secondary treatment and best practicable wastewater treatment technology. Replacement or installation of individual or community septic systems or other decentralized treatment approaches are reported in Category VII-L, Individual/Decentralized Sewage Treatment.
139	Advanced Treatment	Category II - Advanced treatment.
140	Infiltration/inflow Correction	Category IIIA - Infiltration/inflow correction.
141	Sewer System Rehabilitation	Category IIIB - Replacement and/or major rehabilitation of existing sewer systems.
142	New Collector Sewers	Category IVA - New collector sewer systems and appurtenances. Includes construction of a collector sewer to replace failing individual or decentralized sewage treatment systems with centralized treatment. Construction of a collector sewer to transport wastes to a cluster septic system or other decentralized facility are reported in Category VII-L, Individual/Decentralized Sewage Treatment.
143	New Interceptor	Category IVB - New interceptor sewer systems and appurtenances.
144	CSO Correction	Category V - Correction of combined sewer overflows.
145	Storm Sewers	Category VI - Storm sewers (i.e., activities to plan and implement municipal storm water management programs pursuant to NPDES permits). Storm sewers associated with storm water management in areas not covered by applicable phase I or II storm water NPDES permits are reported in Category VII-D, Urban.
146	Recycled Water Distribution	Category X - Conveyance of recycled water (i.e., water reuse) from wastewater facility to end user (e.g., drinking water facility) and enduser equipment to use the recycled water (if publicly owned, e.g., public golf course and gray water system for municipal building).

### Wastewater Treatment Solutions to Nonpoint Source Problems

149	Annual Dollar Amount	Dollar amount of wastewater treatment (section 212) assistance reported that was used to solve nonpoint source pollution problems, such as to replace individual or community sewage disposal systems (decentralized systems) with centralized treatment systems.
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### Nonpoint Source (§319) Assistance

*The total dollar amount of CWSRF assistance provided for the implementation of nonpoint source management programs established under CWA, section 319, according to the following categories during the reporting period.*

Line #	Field Name	Definition
151	Agricultural Cropland	Category VII-A - nonpoint source pollution control activities related to agricultural activities such as plowing, pesticide spraying, irrigation, fertilizing, planting, and harvesting. Examples of BMPs include conservation tillage, nutrient management, and irrigation water management.
152	Agricultural Animals	Category VII-B - nonpoint source pollution control activities related to agricultural activities associated with animal production, such as confined animal facilities and grazing. Examples of BMPs include animal waste storage facilities, animal waste nutrient management, composting facilities, and planned grazing.
153	Silviculture	Category VII-C - nonpoint source pollution control activities related to forestry activities, such as removal of streamside vegetation, road construction and use, timber harvesting, and mechanical preparation for the planting of trees. Examples of BMPs include preharvest planning, streamside buffers, road management, and revegetation of disturbed areas.
154	Urban (excludes decentralized systems)	Category VII-D - nonpoint source pollution control activities related to new or existing development in urban or rural settings, such as erosion, sedimentation, and discharge of pollutants (e.g., inadequately treated wastewater, oil, grease, road salts, and toxic chemicals) into water resources from construction sites, roads, bridges, parking lots, and buildings. Examples of BMPs include wet ponds, construction site erosion and sediment controls, sand filters, and detention basin retrofit. This category includes only those runoff projects in communities without phase I or phase II storm water permits. This category does not include the rehabilitation or replacement of individual sewage disposal systems with new individual sewage disposal systems or other decentralized sewage disposal technologies. These systems are reported under a separate nonpoint source category--Category VII-L, Individual/Decentralized Sewage Treatment.
155	Ground Water - Unknown Source	Category VII-E - nonpoint source pollution control activities related to ground water protection such as wellhead and recharge area protection activities. Any activity that can be attributed to a specific cause of ground water pollution, such as leaking storage tanks, soil contamination in a brownfield, or leachate from a sanitary landfill, are included in that more specific category.
156	Marinas	Category VII-F - nonpoint source pollution control activities related to boating and marinas, such as poorly flushed waterways, boat maintenance activities, discharge of sewage from boats, and the physical alteration of shoreline, wetlands, and aquatic habitat during the construction and operation of marinas. Examples of BMPs include pumpout systems and oil containment booms.
157	Resource Extraction	Category VII-G - nonpoint source pollution control activities related to mining and quarrying activities. Examples of BMPs include detention berms and seeding or revegetation.
158	Brownfields	Category VII-H - nonpoint source pollution control activities related to abandoned, idle, and underused industrial sites. All pollution control activities at these sites are included in this category, regardless of the activity. Examples of BMPs include ground water monitoring wells, in situ treatment of contaminated soils and ground water, capping to prevent storm water infiltration, and storage tank activities at brownfields.
159	Storage Tanks	Category VII-I - nonpoint source pollution control activities related to tanks designed to hold gasoline or other petroleum products or chemicals. The tanks may be located either above or below ground level. Examples of BMPs include spill containment systems, in situ treatment of contaminated soils and ground water, and upgrade, rehabilitation, or removal of petroleum/chemical storage tanks. Facilities or measures that are part of nonpoint source pollution control activities at abandoned, idle and underused industrial sites (brownfields) are included in Category VII-H, Brownfields.
160	Sanitary Landfills	Category VII-J - nonpoint source pollution control activities related to sanitary landfills. Examples of BMPs include leachate collection or on-site treatment, gas collection and control, and capping and closure.

Line #	Field Name	Definition
161	Hydromodification	Category VII-K - nonpoint source pollution control activities related to channelization and channel modification, dams, streambank and shoreline erosion, and wetland or riparian area protection or restoration. Examples of BMPs include conservation easements, swales or filter strips, shore erosion control, wetland development and restoration, and bank and channel (grade) stabilization.
162	Individual/Decentralized Sewage Treatment	Category VII-L - nonpoint source pollution control activities related to rehabilitation or replacement of individual or community sewage disposal systems. Includes construction of collector sewers to transport wastes to a cluster septic tank or other decentralized facilities. Collection sewers and expansion of existing or construction of new centralized treatment facilities that replace individual or community sewage disposal systems are included in Category IV-A, New Collector Sewers, and Category I, Secondary Treatment, respectively.
163	Unclassified	Unclassified nonpoint source assistance are amounts not distributed among individual nonpoint source categories.

#### **Estuary (§320) Assistance**

166	Annual Dollar Amount	CWSRF assistance provided for the development and implementation of the 28 estuary conservation and management plans established under CWA, section 320. Only activities unique to section 320 are included in this category (e.g., fisheries/oyster bed/shellfish restocking/restoration, fish ladders, rejuvenation of submerged aquatic vegetation). Point source and nonpoint source pollution control activities related to development and implementation of estuary plans are reported under those respective categories.
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#### **Amount of §212 and §319 assistance that impacts estuaries**

168	Annual Dollar Amount	CWSRF assistance reported under section 212 projects that will benefit estuaries.
169	Annual Dollar Amount	CWSRF assistance reported under section 319 projects that will benefit estuaries.

#### **Wastewater Treatment (§212) (Number in each category)**

*The total number of CWSRF assistance agreements made for each of the following Wastewater Treatment Works (CWA Section 212) categories during the reporting period. Assistance agreements may be counted in more than one category when they fund more than one category.*

172	Secondary Treatment	Category I - Secondary treatment and best practicable wastewater treatment technology. Replacement or installation of individual or community septic systems or other decentralized treatment approaches are reported in Category VII-L, Individual/Decentralized Sewage Treatment.
173	Advanced Treatment	Category II - Advanced treatment.
174	Infiltration/inflow Correction	Category IIIA - Infiltration/inflow correction.
175	Sewer System Rehabilitation	Category IIIB - Replacement and/or major rehabilitation of existing sewer systems.
176	New Collector Sewers	Category IVA - New collector sewer systems and appurtenances. Includes construction of a collector sewer to replace failing individual or decentralized sewage treatment systems with centralized treatment. Construction of a collector sewer to transport wastes to a cluster septic system or other decentralized facility are reported in Category VII-L, Individual/Decentralized Sewage Treatment.
177	New Interceptor	Category IVB - New interceptor sewer systems and appurtenances.
178	CSO Correction	Category V - Correction of combined sewer overflows.
179	Storm Sewers	Category VI - Storm sewers (i.e., activities to plan and implement municipal storm water management programs pursuant to NPDES permits). Storm sewers associated with storm water management in areas not covered by
180	Recycled Water Distribution	Category X - Conveyance of recycled water (i.e., water reuse) from wastewater facility to end user (e.g., drinking water facility) and enduser equipment to use the recycled water (if publicly owned, e.g., public golf course and gray water system for municipal building).

Line #	Field Name	Definition
<b>Nonpoint Source (§319) Assistance</b>		
<i>The total number of CWSRF assistance agreements provided for the implementation of nonpoint source management programs established under CWA, section 319, according to the following categories during the reporting period. Assistance agreements may be counted in more than one category when they fund more than one category.</i>		
183	Agricultural Cropland	Category VII-A - nonpoint source pollution control activities related to agricultural activities such as plowing, pesticide spraying, irrigation, fertilizing, planting, and harvesting. Examples of BMPs include conservation tillage, nutrient management, and irrigation water management.
184	Agricultural Animals	Category VII-B - nonpoint source pollution control activities related to agricultural activities associated with animal production, such as confined animal facilities and grazing. Examples of BMPs include animal waste storage facilities, animal waste nutrient management, composting facilities, and planned grazing.
185	Silviculture	Category VII-C - nonpoint source pollution control activities related to forestry activities, such as removal of streamside vegetation, road construction and use, timber harvesting, and mechanical preparation for the planting of trees. Examples of BMPs include preharvest planning, streamside buffers, road management, and revegetation of disturbed areas.
186	Urban (excludes decentralized systems)	Category VII-D - nonpoint source pollution control activities related to new or existing development in urban or rural settings, such as erosion, sedimentation, and discharge of pollutants (e.g., inadequately treated wastewater, oil, grease, road salts, and toxic chemicals) into water resources from construction sites, roads, bridges, parking lots, and buildings. Examples of BMPs include wet ponds, construction site erosion and sediment controls, sand filters, and detention basin retrofit. This category includes only those runoff projects in communities without phase I or phase II storm water permits. This category does not include the rehabilitation or replacement of individual sewage disposal systems with new individual sewage disposal systems or other decentralized sewage disposal technologies. These systems are reported under a separate nonpoint source category--Category VII-L, Individual/Decentralized Sewage Treatment.
187	Ground Water - Unknown Source	Category VII-E - nonpoint source pollution control activities related to ground water protection such as wellhead and recharge area protection activities. Any activity that can be attributed to a specific cause of ground water pollution, such as leaking storage tanks, soil contamination in a brownfield, or leachate from a sanitary landfill, are included in that more specific category.
188	Marinas	Category VII-F - nonpoint source pollution control activities related to boating and marinas, such as poorly flushed waterways, boat maintenance activities, discharge of sewage from boats, and the physical alteration of shoreline, wetlands, and aquatic habitat during the construction and operation of marinas. Examples of BMPs include pumpout systems and oil containment booms.
189	Resource Extraction	Category VII-G - nonpoint source pollution control activities related to mining and quarrying activities. Examples of BMPs include detention berms and seeding or revegetation.
190	Brownfields	Category VII-H - nonpoint source pollution control activities related to abandoned, idle, and underused industrial sites. All pollution control activities at these sites are included in this category, regardless of the activity. Examples of BMPs include ground water monitoring wells, in situ treatment of contaminated soils and ground water, capping to prevent storm water infiltration, and storage tank activities at brownfields.



Line #	Field Name	Definition
191	Storage Tanks	Category VII-I - nonpoint source pollution control activities related to tanks designed to hold gasoline or other petroleum products or chemicals. The tanks may be located either above or below ground level. Examples of BMPs include spill containment systems, in situ treatment of contaminated soils and ground water, and upgrade, rehabilitation, or removal of petroleum/chemical storage tanks. Facilities or measures that are part of nonpoint source pollution control activities at abandoned, idle and underused industrial sites (brownfields) are included in Category VII-H, Brownfields.
192	Sanitary Landfills	Category VII-J - nonpoint source pollution control activities related to sanitary landfills . Examples of BMPs include leachate collection or on-site treatment, gas collection and control, and capping and closure.
193	Hydromodification	Category VII-K - nonpoint source pollution control activities related to channelization and channel modification, dams, streambank and shoreline erosion, and wetland or riparian area protection or restoration. Examples of BMPs include conservation easements, swales or filter strips, shore erosion control, wetland development and restoration, and bank and channel (grade) stabilization.
194	Individual/Decentralized Sewage Treatment	Category VII-L - nonpoint source pollution control activities related to rehabilitation or replacement of individual or community sewage disposal systems. Includes construction of collector sewers to transport wastes to a cluster septic tank or other decentralized facilities. Collection sewers and expansion of existing or construction of new centralized treatment facilities that replace individual or community sewage disposal systems are included in Category IV-A, New Collector Sewers, and Category I, Secondary Treatment, respectively.
195	Unclassified	Unclassified nonpoint source assistance are amounts not distributed among individual nonpoint source categories.

#### Estuary (§320) Assistance

198	Annual Number of Assistance Agreements	Total number of CWSRF assistance agreements made for the development and implementation of the 28 estuary conservation and management plans established under CWA, section 320. Only activities unique to section 320 are included in this category (e.g., fisheries/oyster bed/shellfish restocking/restoration, fish ladders, rejuvenation of submerged aquatic vegetation). Point source and nonpoint source pollution control activities related to development and implementation of estuary plans are reported under those respective categories.
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#### Construction Starts

*The start of construction is defined as the date of issuance to a contractor of a notice to proceed for the initial CWSRF funded contract or, if a notice to proceed is not required, the date of execution of the initial CWSRF funded contract awarded for the project. Includes only the portion financed with CWSRF funds. For refinance projects, report construction start amounts either in the year in which the CWSRF assistance agreement for the project is signed or in the year in which construction started, whichever is later, even if construction began prior to the date of the CWSRF assistance agreement. Refinance projects are those where non-CWSRF financing was initially used, and CWSRF funding was subsequently provided to pay-off the non-CWSRF financing.*

200	Annual Dollar Amount	Total CWSRF assistance provided for projects starting construction during the reporting period (includes assistance for refinancing).
202	Annual Number of Assistance Agreements	Total number of CWSRF assistance agreements for projects starting construction during the reporting period (includes assistance for refinancing).

#### Initiation of Operations

*Initiation of operations is defined as the date when the CWSRF funded project comes into operation or becomes capable of operation for the purposes for which it was planned, designed, and built. Includes only the portion financed with CWSRF funds. For refinance projects, report initiation of operation amounts either in the year in which the CWSRF assistance agreement for the project is signed or in the year in which*

Line #	Field Name	Definition
		<i>operations are initiated, whichever is later, even if initiation of operations began prior to the date of the CWSRF assistance agreement. Refinance projects are those where non-CWSRF financing was initially used, and CWSRF funding was subsequently provided to pay off the non-CWSRF financing.</i>
204	Annual Dollar Amount	Total CWSRF assistance provided for projects initiating operations during the reporting period (includes assistance for refinancing).
206	Annual Number of Assistance Agreements	Total number of CWSRF assistance agreements made for projects initiating operations during the reporting period (includes assistance for refinancing).

## Fund Information

### Leveraged Bonds (Excludes State Match)

*Leveraged bonds are bonds for which funds within the CWSRF provide the source of revenue or security. Does not include those bonds issued to meet the State match requirement or bonds issued to fund a separate or adjunct State grant or loan program.*

208	Gross Leveraged Bonds Issued - Annual	Gross amount of CWSRF leveraged bonds issued by the State during the reporting period. Capital appreciation (zero coupon) bonds are reported as the par amount received at time of issuance. Does not include the amount of bonds issued to refund previously issued leveraged bonds.
209	Net Leveraged Bonds Issued - Annual	<p>A. Gross amount of CWSRF leveraged bonds issued by the State during the reporting period adjusted for the following transactions:</p> <ol style="list-style-type: none"> <li>1. plus or minus any original issue premium or discount;</li> <li>2. less bond proceeds set aside for capitalized interest; and</li> <li>3. less expenses related to bond issuance except those charged against CWSRF administrative expenses (i.e., costs of issuance, bond insurance, bond underwriter's discount, legal fees, prepaid interest, and other costs incidental to bond issuance).</li> </ol> <p>B. Net bonds includes amounts issued to fund a debt service reserve. Debt service reserve amounts are reported on line 179.</p> <p>C. Net bonds does not include the amount of bonds issued to refund previously issued leveraged bonds.</p>
210	Cost of Leveraged Bond Issuance - Annual	Expenses related to gross bonds issued except those charged against CWSRF administrative expenses (i.e., costs of issuance, bond insurance, bond underwriter's discount, legal fees, prepaid interest, and other costs incidental to bond issuance). Issuance costs are for gross bonds issued that are reported on line 174. Does not include issuance costs for refunding bonds.
211	Leveraged Bond Principal Repaid - Annual	Dollar amount of CWSRF leveraged bonds called or repaid using CWSRF funds during the reporting period (i.e., principal repaid on the bonds including amounts deposited in escrow to repay term bonds). Excludes accreted amounts paid on maturing capital appreciation (zero coupon) bonds. Excludes bond principal repaid or advance refunded using refunding bond proceeds.
213	Debt Service Reserve for Leveraged Bonds - Balance at End of Reporting Period	Dollar amount of funds in the CWSRF debt service reserve to secure outstanding CWSRF leveraged bonds, balance at the end of the reporting period.
214	Net Change in Gross Bonds Resulting From Refunding - Annual	Gross amount of refunding bonds issued less amount of bonds refunded.
215	CWSRF Funds Used for Refunding (excludes bonds) - Annual	Any additional CWSRF funds (other than refunding bonds) used to complete a bond refunding (e.g., CWSRF funds used to supplement deposits in escrow accounts).

Line #	Field Name	Definition
<b>State Match Bonds to be Repaid by CWSRF</b>		
224	Match Bond Principal Repaid - Annual	Dollar amount of CWSRF State match bonds called or repaid using CWSRF funds during the reporting period (i.e., principal repaid on the bonds including amounts deposited in escrow to repay term bonds). Excludes accreted amounts paid on maturing capital appreciation (zero coupon) bonds. Excludes bond principal repaid or advance refunded using refunding bond proceeds.
<b>Interest Paid on Leveraged and Match Bonds</b>		
228	Total Interest Paid from Capitalized Interest Account and Other CWSRF Funds - Annual	Dollar amount of direct interest expense related to the leveraged and State match bond debt service paid using CWSRF funds including amounts paid from the capitalized interest account and from other CWSRF funds during the reporting period (e.g., interest payments on the bonds). Includes accreted amounts paid on maturing capital appreciation (zero coupon) bonds. Excludes interest paid from an escrow account to advance refund bonds.
229	Interest Paid from a Capitalized Interest Account - Annual	Dollar amount of interest paid on leveraged and State match bonds during the reporting period that is paid from a capitalized interest account funded from bond proceeds.

## Fund Information

### CWSRF Project Assistance Disbursed

232	Annual	Dollar amount of CWSRF assistance that has been disbursed from the CWSRF to assistance recipients for projects during the reporting period. Includes all types of assistance for projects (i.e, loans, refinancing, guarantee of and insurance for local debt, and sub-state revolving loan funds). For pass-through and linked deposit assistance, includes the amount disbursed by the intermediary to subrecipients. Does not include amounts for administration of the CWSRF.
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### CWSRF Loan Repayments

234	Principal Repayments - Annual	Dollar amount of the principal portion of CWSRF assistance that has been repaid to the CWSRF during the reporting period. Does not include any amounts of interim construction loans that are rolled into permanent financing during the period. Principal repayments on permanent financing are included in the period in which received by the CWSRF. Does not include any amounts of previously issued CWSRF loans that have been called and reissued by the CWSRF as a result of a refunding of CWSRF leveraged bonds. For pass-through and linked deposit assistance, includes the amount of principal repaid by the intermediary to the CWSRF.
235	Interest Payments - Annual	Dollar amount of interest paid on CWSRF assistance that has been received by the CWSRF during the reporting period. For pass-through and linked deposit assistance, includes the amount of interest paid by the intermediary to the CWSRF.
240	Weighted Average Interest Rate on Loans	Weighted average of the interest rate charged on CWSRF assistance provided during the reporting period. See example below:

#### Example:

	Dollar Amount of Assistance Agreements for each interest rate	x	Interest Rate	=	Dollar x Percent Weight
	\$30,000,000		4%		1,200,000
	<u>10,000,000</u>		2%		<u>200,000</u>
<b>Total:</b>	\$40,000,000				1,400,000

$$\text{Weighted Average Interest Rate} = (\$1,400,000 \div \$40,000,000) \times 100 = 3.5\%$$

Line #	Field Name	Definition
<b>Interest Earnings on Investments</b>		
241	Annual	Dollar amount of interest earned on all invested CWSRF funds net of any amount rebated to the IRS during the reporting period. Does not include the interest received from CWSRF loans. Does not include earnings on an escrow account to advance refund leveraged or match bonds.
<b>CWSRF Administrative Expenses Paid from the CWSRF</b>		
243	Annual	Dollar amount of administrative expenses paid from the CWSRF during the reporting period to administer the CWSRF program.
<b>CWSRF Administrative Expenses Paid Outside the CWSRF</b>		
247	Annual	Dollar amount of administrative expenses paid from sources outside the CWSRF during the reporting period to administer the CWSRF program.
<b>Other State Clean Water Programs (Separate from CWSRF)</b>		
<b>EPA's Rural Community Hardship Grants Program</b>		
249	EPA's Grants to State	Dollar amount of the grant provided by the EPA to the state under EPA's Rural Community Hardship Grants Program during the reporting period.
250	State Match Amount	Dollar amount of state match provided under EPA's Rural Community Hardship Grants Program during the reporting period.
252	Technical Assistance from Hardship Funds - Annual Dollars	Dollar amount of technical assistance provided from hardship funds under EPA's Rural Community Hardship Grants Program during the reporting period.
253	Project Assistance from Hardship Funds - Annual Dollars	Dollar amount of hardship funds provided to communities to fund projects under EPA's Rural Community Hardship Grants Program during the reporting period.
256	Number of Projects Receiving Hardship Assistance - Annual	Number of projects receiving hardship funds under EPA's Rural Community Hardship Grants Program during the reporting period.
258	Number of Projects Receiving Hardship Assistance and CWSRF Loans - Annual	Number of projects receiving a combination of rural community hardship funding and CWSRF loan assistance during the reporting period.
260	Hardship Portion of Combined Assistance - Annual Dollars	Dollar amount of rural community hardship funding used in combination with CWSRF loan assistance during the reporting period.
262	CWSRF Loan Portion of Combined Assistance - Annual Dollars	Dollar amount of CWSRF loan assistance provided in combination with rural community hardship funding during the reporting period.
<b>State Funded Clean Water Loan Programs (Separate from CWSRF)</b>		
<i>Amount of loans provided from a State funded and operated clean water loan program that is separate from the CWSRF program. Includes State clean water loan programs with eligibilities similar to those of the CWSRF program, i.e., wastewater treatment, nonpoint source, and estuaries. Includes State funded loans for the local share of Construction Grants projects. Does not include loans for drinking water projects.</i>		
266	Cumulative Dollar Amount from 7/1/87 to 6/30/98	Cumulative dollar amount of loans provided from July 1, 1988 through June 30, 1998.
267	Annual Dollar Amount	Dollar amount of loans provided during the current reporting period, i.e., from July 1, 2001 through June 30, 2002.

Line #	Field Name	Definition
269	Cumulative Number of Loans from 7/1/87 to 6/30/98	Cumulative number of loans provided from July 1, 1988 through June 30, 1998.
270	Annual Number of Loans	Number of loans provided during the current reporting period, i.e., from July 1, 1998 through June 30, 1999.
<b>State Funded Clean Water Grant Programs (Separate from CWSRF)</b>		
<i>Amount of grants provided from a State funded and operated clean water grant program that is separate from the CWSRF program. Includes State clean water grant programs with eligibilities similar to those of the CWSRF program, i.e., wastewater treatment, nonpoint source, and estuaries. Includes State matching grants for Construction Grants projects. Does not include grants for drinking water projects.</i>		
272	Cumulative Dollar Amount from 7/1/87 to 6/30/98	Cumulative dollar amount of grants provided from July 1, 1988 through June 30, 1998.
273	Annual Dollar Amount	Dollar amount of grants provided during the current reporting period, i.e., from July 1, 2001 through June 30, 2002.
275	Cumulative Number of Grants from 7/1/87 to 6/30/98	Cumulative number of grants provided from July 1, 1988 through June 30, 1998.
276	Annual Number of Grants	Number of grants provided during the current reporting period, i.e., from July 1, 2001 through June 30, 2002.